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From: (b)(6);(b)(7)(C)

Sent: Wednesday, June 5, 2019 11:24 AM

(b)(6);(b)(7)(C)

Subject: Re: tracking down a document for a FOIA Litigation

Dear (b)(6);(b)(7)(C)

I'm afraid I'm unable to comply entirely with your request. I've looked at all of my acquisition documents from around the period you specified (fall of 2014, when the FALCON PMO was working towards modifying the operations and maintenance contract with Palantir to move away from a per-server blade charge to an unlimited license). It appears that the information I entered into the SharePoint form for the Privacy Office was cut and pasted from several different documents, and I paraphrased portions to make it fit within the box provided. The following excerpt from an acquisition plan dated 8/01/2014 appears to be the closest analogue to what I typed into the first box that is cut off.

"FALCON (which is HSI's customized version of Palantir Gotham, a COTS software product) provides HSI's agents and analysts with a key investigative resource: a wholly integrated, consolidated platform performing federated search, analytics, geospatial referencing, reporting and situational awareness capabilities across a broadly diverse universe of structured and unstructured law enforcement data residing in numerous, disparate source environments.

"The FALCON system is comprised of several sub-components. The largest of these is FALCON-SA (Search and Analysis)/Workspace, used by the entire community of FALCON users. Users of FALCON-SA/Workspace have access to the following data sets:

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"HSI first piloted Palantir's Gotham product, then called Palantir Government, in support of (b)(7)(E) in the fall of 2010. Following the successful pilot test of this IT product, HSI purchased from the GSA Schedule perpetual licenses for 56 server cores from Palantir Technologies, allowing for the initial install and development of the FALCON system, which replaced several outdated information search and analysis systems used previously by HSI. The period of performance for the initial contract was September 14, 2011 through September 13, 2012; this initial contract was extended for six months for the provision of operations and maintenance services, from September 14, 2012 to March 13, 2013. Subsequently, Palantir Technologies was granted a three-month bridge contract to provide operations and maintenance services, from March 14, 2013 to June 13, 2013.

"The current operations and maintenance contract was awarded on June 14, 2013 with a 9-month base Period of Performance and four 12-month option years, plus an optional 6-month extension, totaling \$15,343,775.24. The base task order is a Firm-Fixed Price (FFP) GSA Schedule 70 task order. This contract was amended on September 27, 2013 to include the purchase of 176 additional server cores to allow for the addition of a new module for FALCON called FALCON-DARTTS (Data Analysis and Research for Trade Transparency System) and 80 additional server cores to allow for the addition of a new data set, EID (Enforcement Integrated Database). The total cost for this modification, including purchase of the 256 additional server cores/perpetual licenses and operations and maintenance support for these server cores in the subsequent years of the contract, was \$21,436,539.31, raising the total value of the contract to \$36,780,314.55. All purchases were made from the GSA Schedule, with discounts applied. We are currently in Option Year One of the contract, which extends from March 14, 2014 to March 13, 2015.

"Within the current contract, each option year allows for incremental increases of 8 server cores/perpetual licenses. This restricts FALCON to extremely limited growth; either gradual and modest increases in the number of users of FALCON Workspace and FALCON Mobile (a version of FALCON which can be accessed over Agents' iPhones), or the addition of a limited number of new, small data sets could be accommodated under this limitation, but not both. HSI management has identified sixteen additional data sets which they have prioritized for future inclusion within FALCON. The value of the product as an analytical tool increases as the number of available data sets increases.

"The proposed modification to the contract would remove the server core limitations from the current pricing structure, resulting in a perpetual enterprise license with no limitations on either the numbers of users or the size of data sets which can be added to FALCON. Also, this modification would render additional modifications to the existing contract unnecessary, as the Unlimited Gotham License is inclusive of an unlimited number of server cores/perpetual licenses and required units of operations and maintenance services. New data sets could be added to FALCON without the requirement of a contract modification to purchase additional server cores and units of operations and maintenance services. Included in the terms of the Unlimited Gotham License are the provision of operation and maintenance services for FALCON, including software and hardware updates, responding to System Change Requests (SCRs) generated by the FALCON IPT, and operating a help desk which will respond to users' requests for assistance and guidance, as well as providing certain training services for new and existing users of FALCON. Operations and maintenance services will be provided in the ICE Headquarters region (greater Washington, DC) and at various ICE HQ facilities. Training services will be provided at ICE facilities nationwide."

I'm sure I didn't paste or type all of the preceding information into box #1 of the SharePoint form, but this excerpt should include all of the information that I did enter into that box. Regarding the second text box that is cut off, the best I can provide is information from the FALCON-SA PIA update dated 4/30/2013. Due to the length of this document, rather than copying its text into this email, I have attached the full document. This would be the most recent PIA update for FALCON-SA at the time in question, fall of 2014, so I would have cut and pasted from this document into text box #2.

I hope this is helpful. As I state above, this is the best I can do.

Best wishes,

(b)(6);(b)(7)(C)



Privacy Impact Assessment
for the

FALCON Search & Analysis System

<<ADD Publication Date>>

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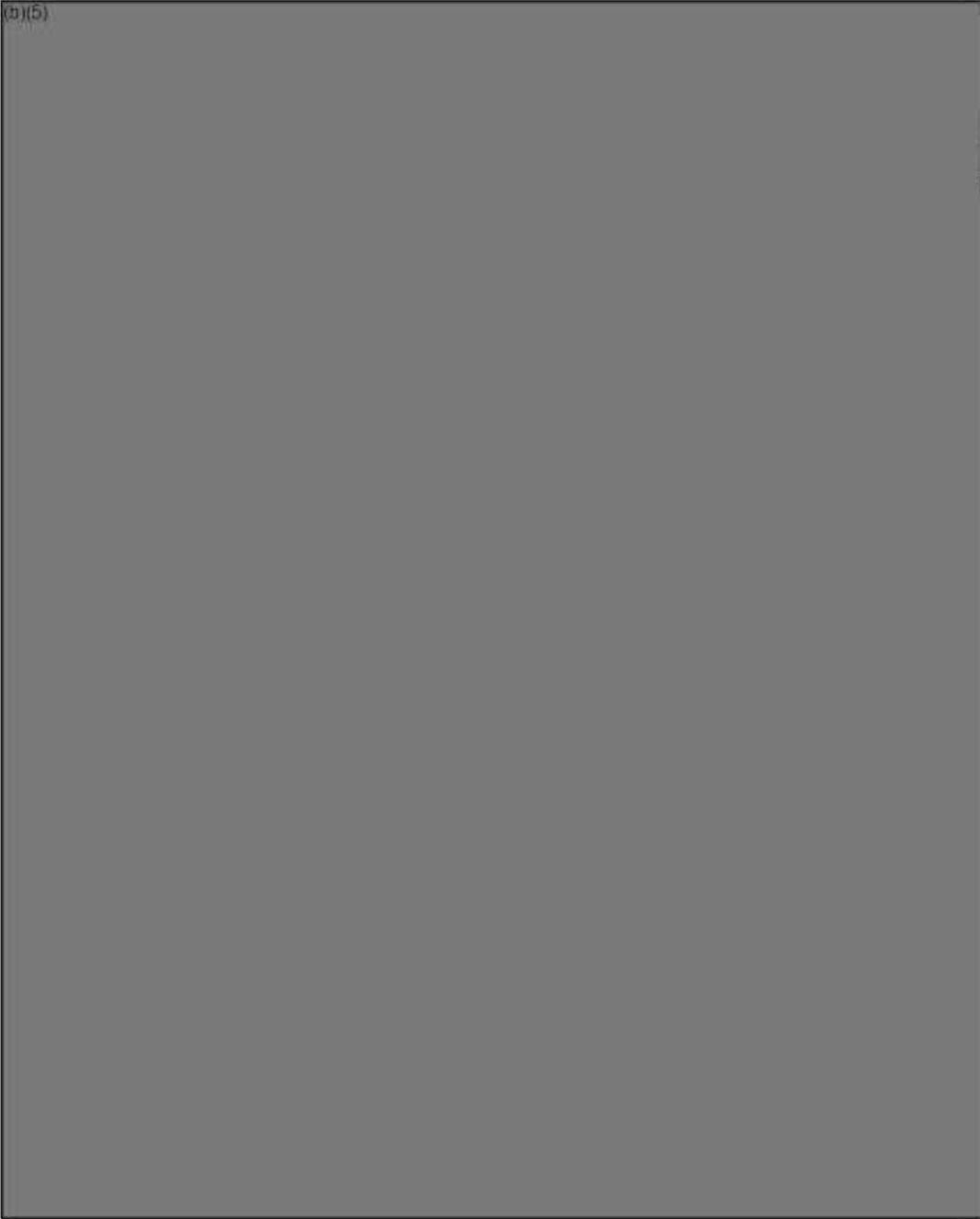


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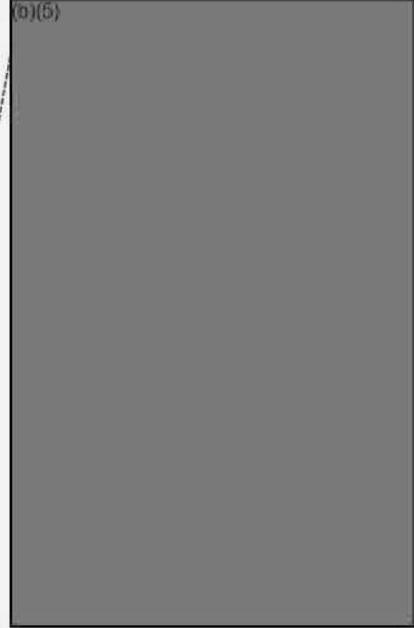




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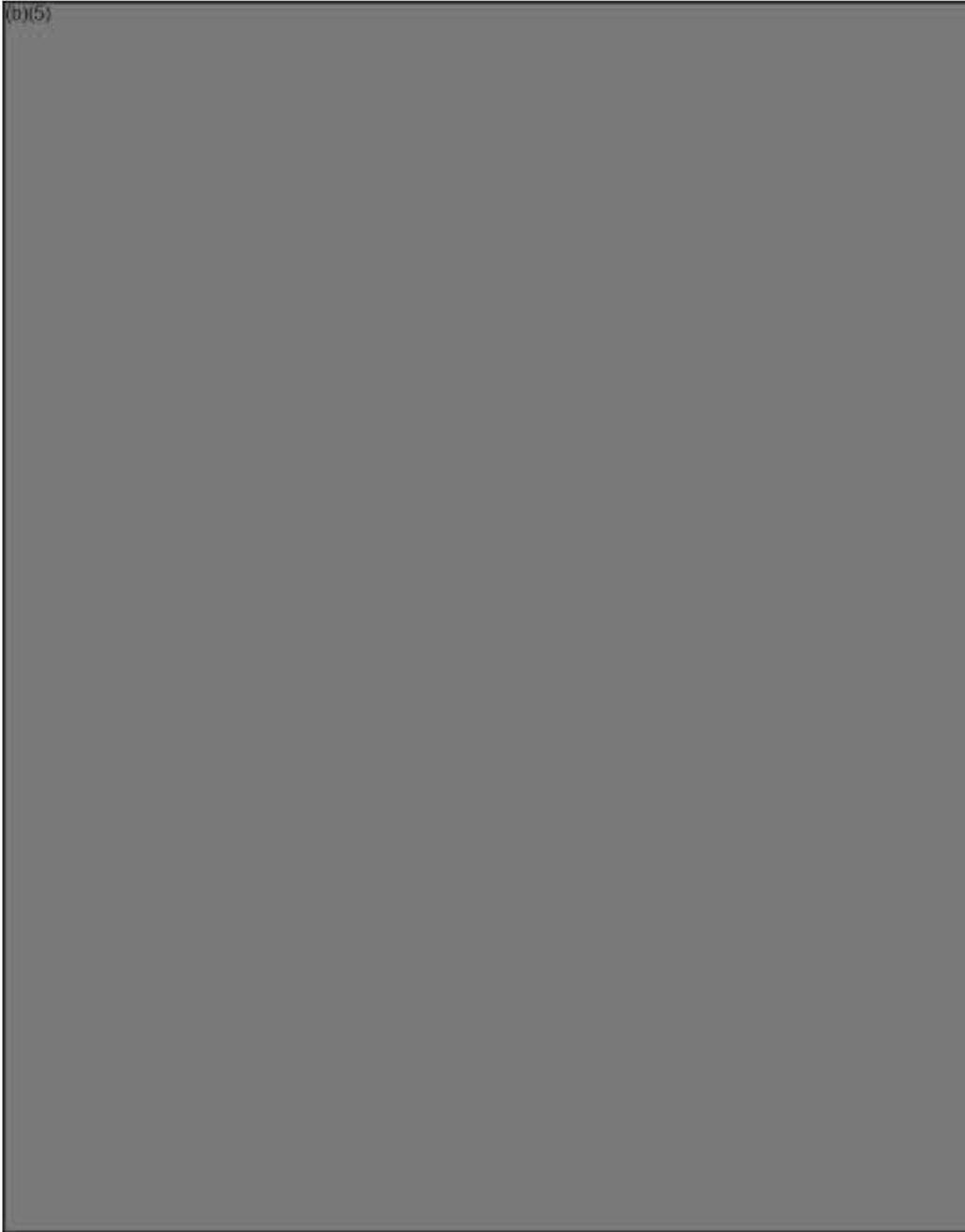


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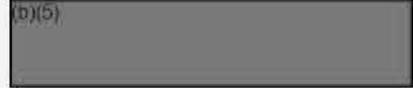




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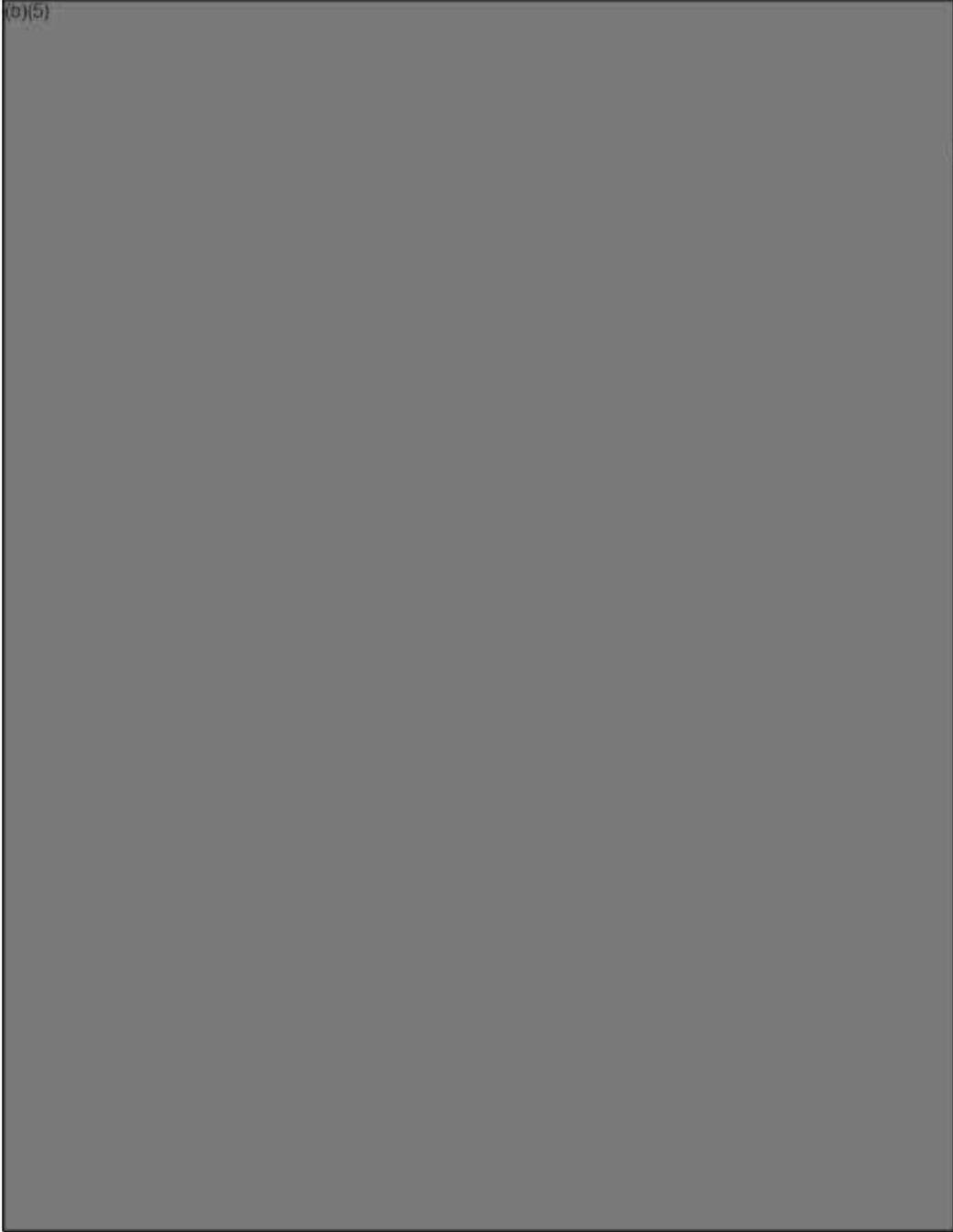


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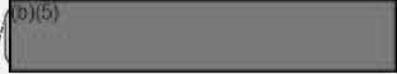




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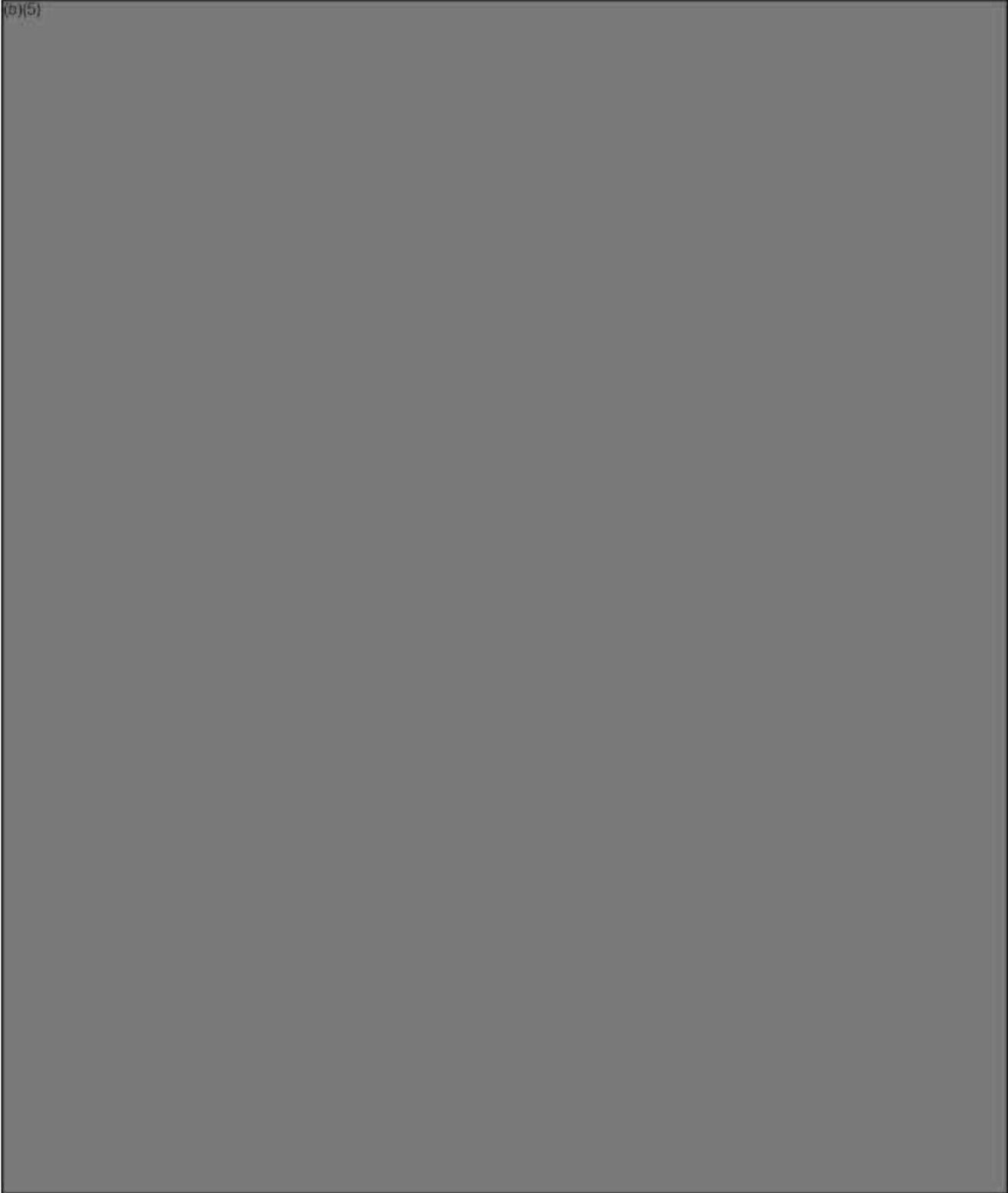


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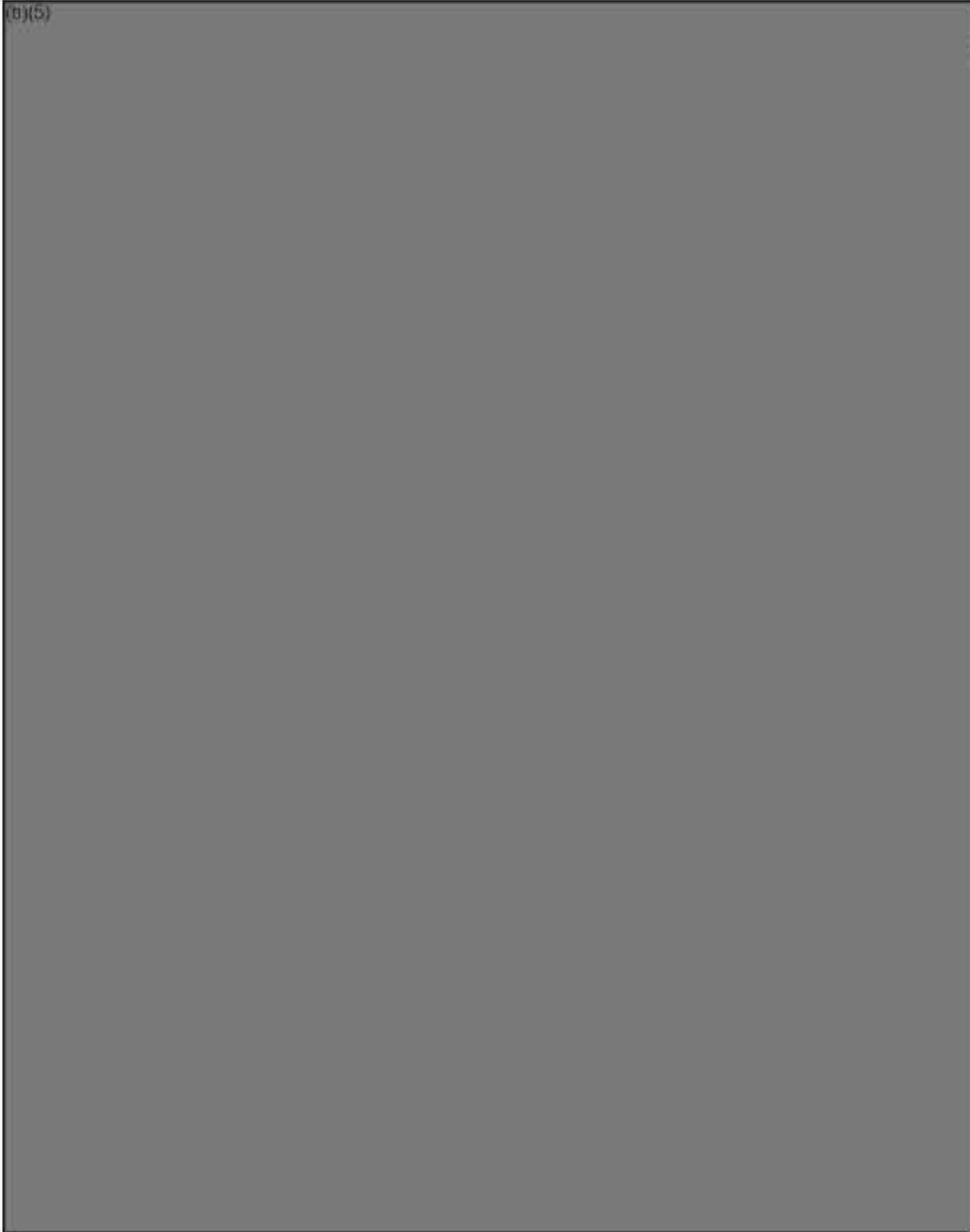


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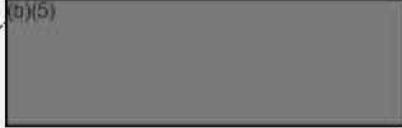




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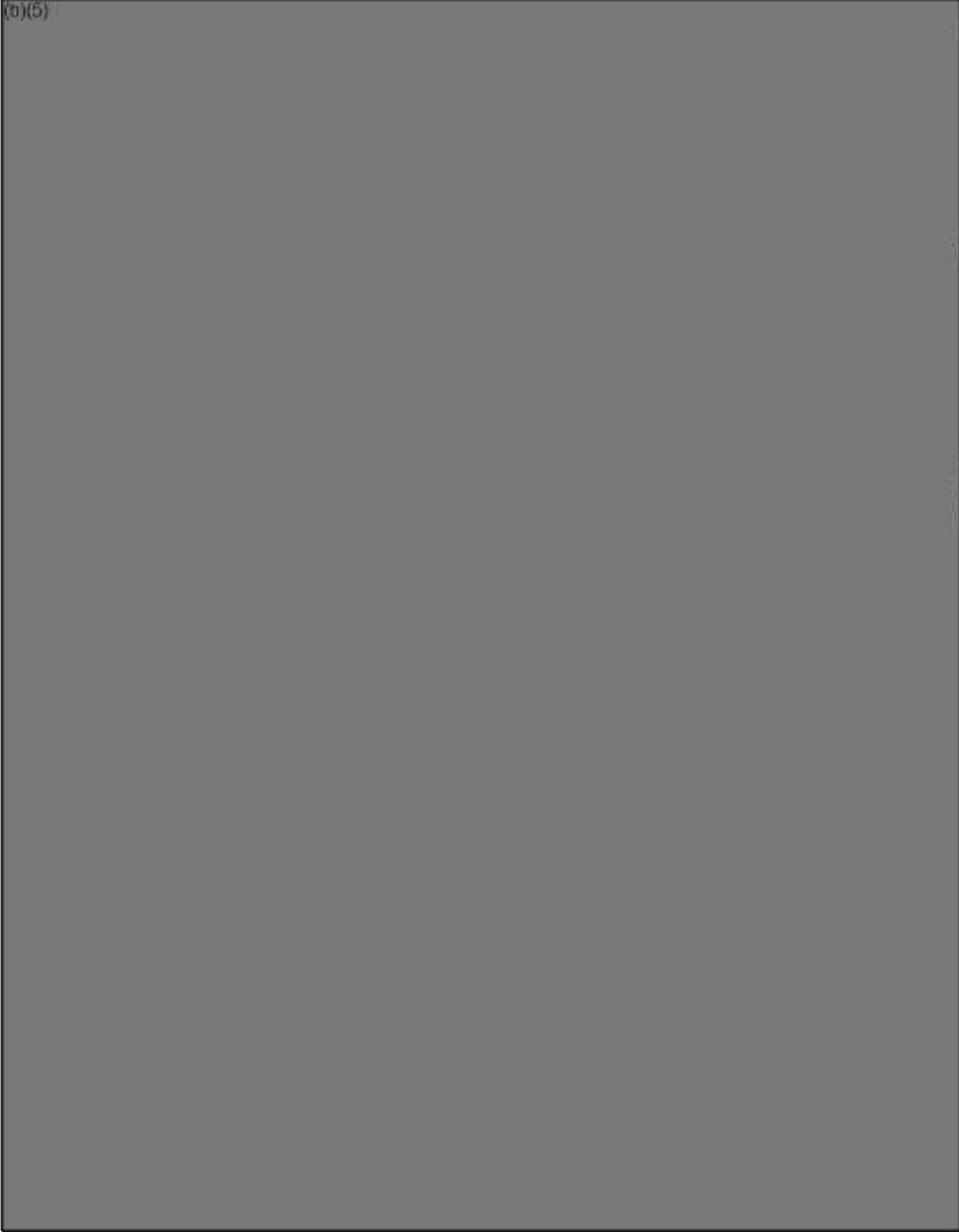


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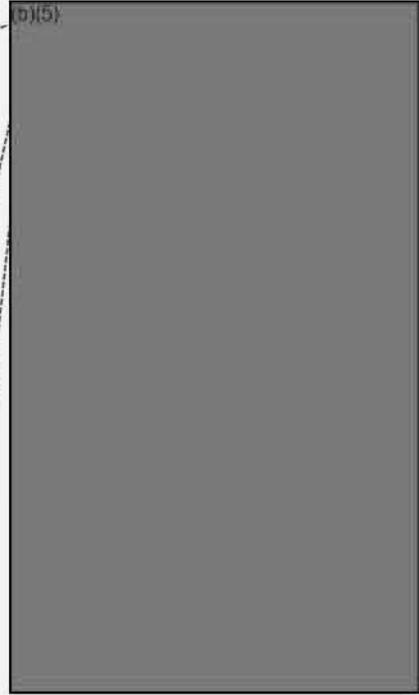




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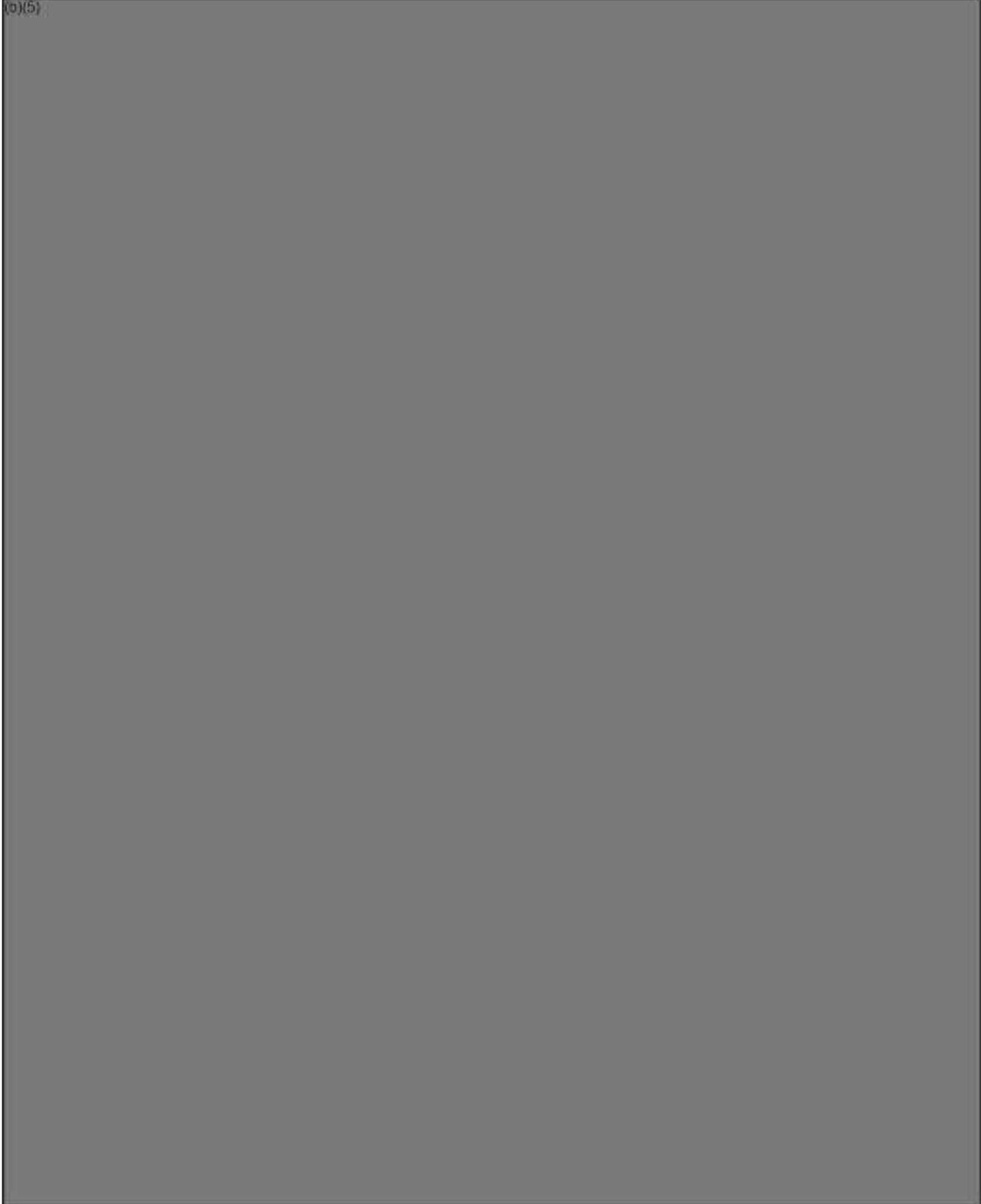


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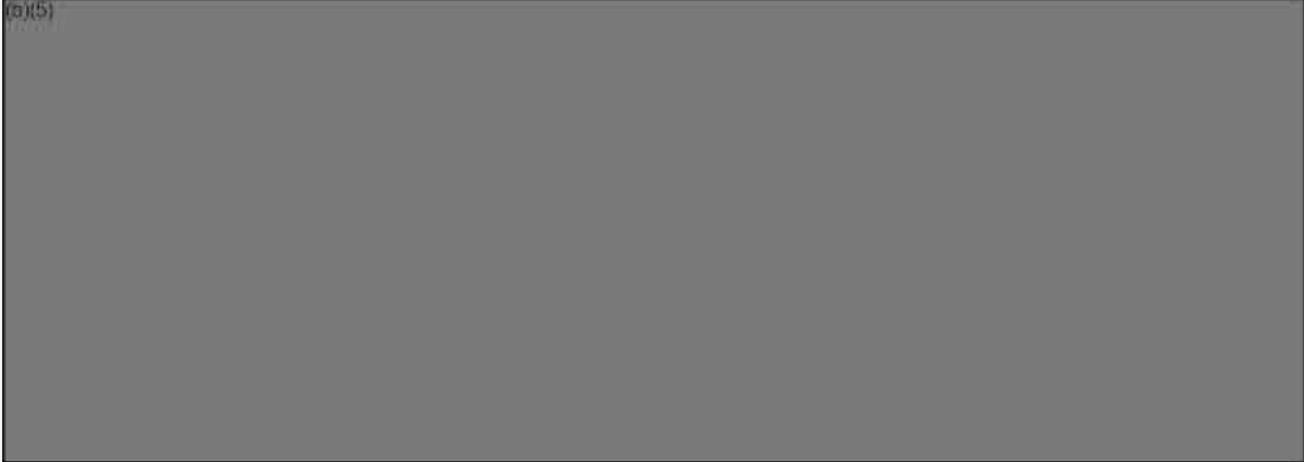


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A large, solid grey rectangular redaction box covers the majority of the page's content, starting below the header and ending above the footer. The text "(b)(5)" is written in the top-left corner of this redacted area.